THE DUE DILIGENCE REQUIREMENTS FOR GEORGIA HAVE CHANGED.

If an account has a value of $50 or more, holders are still required to communicate with an owner prior to remitting the property to the state. However, the form that this communication takes has changed.

Beginning July 1st, 2026, Holders must:

1) **Send notice by first-class letter to the owner's last known address if the property has a value of $50 or more but does not exceed $10,000.**

2) **Send notice by certified mail to the owner's last known address if the property is over $10,000.**

3) **If the holder maintains a valid email address for the owner and the owner has agreed to receive communications electronically, an additional notice must be sent by electronic mail.**

The cost of compliance may not be deducted from the remitted property.

PLEASE NOTE

- **BEGINNING JULY 1ST, 2025 ALL SECURITIES DELIVERED TO THE DOR WILL BE SOLD UPON RECEIPT WITH NO FURTHER NOTICE. LOST OWNERS WILL NO LONGER BE ABLE TO RECLAIM THEIR SECURITIES ONCE THEY HAVE BEEN ESCHEATED TO THE GA UNCLAIMED PROPERTY PROGRAM. CLAIMANTS WILL RECEIVE THE PROCEEDS FROM THE SALE.**

- **MUTUAL FUND REGISTRATION INSTRUCTIONS FOR THE GEORGIA UNCLAIMED POPERTY PROGRAM HAVE CHANGED. PLEASE SEE THE TRANSFER INSTRUCTIONS IN THIS DOCUMENT FOR MORE INFORMATION.**

FOR MORE INFORMATION EMAIL US AT

UCP.REPORTING@DOR.GA.GOV

PHONE: (855) 329-9863

ONLINE REPORTING NOW AVAILABLE

HOLDER REPORTING PORTAL LOCATED AT:

https://gareporting.unclaimedproperty.com/

IF REPORTING OVER 25 ACCOUNTS, HOLDERS ARE REQUIRED TO SUBMIT REPORTS THROUGH GEORGIA'S HOLDER REPORTING PORTAL. ALL OTHER HOLDERS ARE STRONGLY ENCOURAGED TO DO SO. REPORTING THROUGH THE PORTAL ELIMINATES THE NEED TO SUBMIT ANY FORMS. ONLINE SUBMISSIONS MUST BE IN THE NATIONAL ASSOCIATION OF UNCLAIMED PROPERTY ADMINISTRATORS (NAUPA) FORMAT.

FOR MORE INFORMATION EMAIL US AT

UCP.REPORTING@DOR.GA.GOV

PHONE: (855) 329-9863

Securities
Report Forms and Instructions

These forms are intended for reporting any type of securities and security related cash.

Form UP-1S ..... Page 27-28

UP-2S ..... Page 29-30

UP-3S ..... Page 31-32

(Rev. 05/2025)

INSTRUCTIONS FOR FORM UP-1S
SECURITIES AND SECURITIES RELATED CASH

- **If not reporting online, form UP-1S must accompany all holder reports**
- **Transfer all securities to Wells Fargo Advisors (see instructions for UP-3S)**

HOLDER INFORMATION:

This form is to report **SECURITIES AND SECURITIES RELATED CASH** only. Please type or print your report!

ITEM 1- Enter your federal employer identification number.

ITEM 2- Enter your business name and mailing address.

ITEM 3- If this report is being prepared by an agent on behalf of the business, enter the agent's name and address.

ITEM 4- Enter the name of the person completing the form.

ITEM 5- Enter the telephone number for the person completing the form.

ITEM 6- Enter the e-mail address for the person completing the form.

ITEM 7- Enter the date your business was incorporated or registered.

ITEM 8- Enter the state where your business is registered or incorporated.

REPORT INFORMATION:

ITEM 9A- Enter the total of properties listed on report (each line equals one property).

ITEM 9B- Enter total number of physical securities remitted with this report.

ITEM 9C- Enter total number of DTC stock shares included with this report.

ITEM 9D- Enter total of securities related cash remitted.

ITEM 9E- Enter total number of shares remitted 9B + 9C.

ITEM 10A- Enter total of properties listed on this report (each line equals one property).

ITEM 10B- Enter total number of DTC mutual fund shares included with this report.

ITEM 10C- Enter total number of book shares/DRP shares listed on this report.

ITEM 10D- Enter total securities related cash remitted.

ITEM 10E- Enter total number of mutual funds remitted 10B + 10C.

VERIFICATION:

The report must be certified by a CFO, partner or company officer.

IF FEWER THAN 25 PROPERTIES, YOU MAY CHOOSE TO GO TO OWNER REPORT FORM (UP-2S) TO PROVIDE A DETAILED LISTING OF THE UNCLAIMED ACCOUNTS REFLECTED IN ITEM 9E. IF REPORTING 25 PROPERTIES OR MORE, YOU ARE REQUIRED TO SUBMIT REPORTS AS A NAUPA FILE THROUGH GEORGIA'S HOLDER REPORTING PORTAL.

UP-1S (Rev. 06/2026)
GEORGIA DEPARTMENT OF REVENUE
UNCLAIMED PROPERTY PROGRAM

2026 HOLDER REPORT SUMMARY
FORM UP-1S
SECURITIES AND SECURITIES RELATED CASH

This form must accompany all holder reports

DID YOU ATTACH A CD? Y [ ] N [ ] **ELECTRONIC FILERS: It is not necessary to submit UP-1S if you are reporting online.**

Transfer all securities to Wells Fargo Advisors (attach a list of deliveries)

HOLDER INFORMATION

1. FEDERAL EMPLOYER ID#	2. HOLDER (Business Name)

ADDRESS

CITY, STATE, ZIP CODE

3. IS THIS REPORT BEING PREPARED BY AN AGENT ON BEHALF OF THE HOLDER? Y [ ] N [ ] IF YES, FURNISH AGENT NAME AND ADDRESS:

4. NAME OF CONTACT PERSON	5. TELEPHONE ( )	6. E-MAIL ADDRESS

7. DATE OF INCORPORATION	8. STATE OF INCORPORATION

REPORT INFORMATION

Delivery and registration of shares remitted (see instructions)

STOCK		MUTUAL FUNDS	
9A. Total - properties reported	_____	10A. Total - properties reported	_____
9B. Total - physical shares	_____	10B. Total - DTC shares	_____
9C. Total - DTC shares	_____	10C. Total - book shares/DRP	_____
9D. Total - securities related - cash remitted	_____	10D. Total - securities related - cash remitted	_____
9E. Total - stock remitted B+C	_____	10E. Total - stock remitted B+C	_____

ENCLOSE CONFIRMATION OF DELIVERY OF SHARES AND MUTUAL FUND STATEMENTS

VERIFICATION STATEMENT

I, _____, certify that I have caused to be prepared and have examined this report totaling _____ shares and $_____ as to property presumed abandoned under the Disposition of Unclaimed Property Act for the year ended as stated, that I am duly authorized to execute this verification by the holder and that I believe said report to be true, correct and complete as of said date to the best of my knowledge.

_____
Signature of Responsible Officer

_____
Printed or Typed Name of Responsible Officer

_____
Title of Responsible Officer

_____
Date

FOR OFFICE USE ONLY

CD?	CHECK NUMBER	CHECK DATE	CHECK AMOUNT

DATE DEPOSITED	BATCH NO.	RECEIPT NO.	REPORT ID	HOLDER NO.

STOCK BATCH ID	STOCK RECEIPT ID

INSTRUCTIONS FOR FORM UP-2S SECURITIES

The form UP-2S must accompany all holder reports.

Form UP-2S is used to report all securities-related unclaimed property, as well as to report proceeds derived from the liquidation of such assets. The Unclaimed Property Program uses this information to verify rightful ownership of persons attempting to claim these accounts.

Specific security delivery instructions for transferring and liquidating securities are found on Page 31. Should you have any questions, you may contact us by phone (855) 329-9863 or email ucp.report@dor.ga.gov.

- Type or print the report.
- Enter your business name and federal employer identification number (FEIN) on each page of your report.
- List owners alphabetically by name.

Item 1 - Refer to the Property Codes list on Page 3 and enter the code that best identifies the security reported.

Item 2 – Enter the identifying account number assigned to the account by your business if applicable.

Item 3 – Enter the owner's name and compete address as listed on your business records. If the account has more than one owner, indicate the relationship using the appropriate relationship code from the list on Page 4.

Item 4 – Enter the owner's social security number (SSN) or tax identification number as shown on your business records.

Item 5 – Enter owner date of birth.

Item 6 – Enter the date of last transaction or date of last contact with the owner.

Item 7 – Enter the complete name of the stock or fund as shown by the issuing authority.

Item 8 – Enter the stock CUSIP or mutual fund identification number.

Item 9 – Enter the total number of whole shares remitted to include DRP shares. Liquidate all fractional shares.

Item 10 – Enter cumulative proceeds derived from the liquidation of any fractional shares.

Item 11 – Enter total $ amount of dividends.

Item 12 - Enter total $ amount of columns 10 and 11.

Item 13 – Enter total number of shares per page.

Item 14 – Enter the total $ amount for the page.

Item 15 – If last page, enter the grand total of shares for all pages.

Item 16 – If last page, enter the grand total $ amount for all pages. Make check payable to: Georgia Department Revenue, Unclaimed Property Program.

Item 17 – Enter total number of properties being reported (this page).

Item 18 – If last page, enter grand total of properties being reported.

Complete a Securities Transfer Memo (UP-3S) for each report submitted.

UP-2S (Rev. 05/2026)
GEORGIA DEPARTMENT OF
REVENUE UNCLAIMED PROPERTY
PROGRAM

DETAIL REPORTING FORM UP-2S

SECURITIES AND SECURITIES RELATED CASH

2026

FEDERAL EMPLOYER ID#	BUSINESS NAME

When reporting twenty-five (25) properties or more, they must be reported online in NAUPA format.

STOCK PRO-PERTY CODE (1)	OWNER IDENTIFYING NUMBER (2)	OWNER'S NAME AND ADDRESS (3)	OWNER TAX ID NUMBER (4)	DATE OF BIRTH (5)	DATE OF LAST TRANS-ACTION (6)	NAME OF STOCK ISSUE (7)	CUSIP/ FUND NO. (8)	TOTAL SHARES REMITTED (9)	CASH-IN-LIEU FRACTIONAL SHARES (10)	CASH FROM DIVIDENDS (11)	CASH AMOUNT REMITTED AS DUE OWNER (12)

(17) TOTAL PROPERTIES FOR PAGE

(18) IF LAST PAGE, ENTER GRAND TOTAL OF PROPERTIES

(13) TOTAL NUMBER OF SHARES

(14) ENTER TOTAL AMOUNT REMITTED FOR PAGE

(15) IF LAST PAGE, ENTER GRAND TOTAL OF SHARES FOR ALL PAGES

(16) IF LAST PAGE, ENTER GRAND TOTAL AMOUNT OF ALL PAGES. MAKE CHECK PAYABLE TO GEORGIA DEPARTMENT OF REVENUE UNCLAIMED PROPERTY PROGRAM

INSTRUCTIONS FOR SECURITIES DTC TRANSFER CONFIRMATION FORM UP-3S

Please type or print your report.

This report must accompany your "UP-1S – Holder Report Summary Form" and holder report.

ITEM 1 - Enter your HOLDER-ID number as supplied by Georgia Unclaimed Property if known.

ITEM 2 - Enter the date that the report was prepared.

ITEM 3 - Enter the full stock issue name as shown by issuing authority.

ITEM 4 - Enter stock symbol.

ITEM 5 - Enter the CUSIP number for each issue.

ITEM 6 - Enter the total number of shares transferred to Georgia's Unclaimed Property Custodian – Wells Fargo Advisors.

ITEM 7 - Enter date that the DTC transfer occurred.

ITEM 8 - Enter grand total of Item 6.

The report must be certified as accurate, as indicated by the signature of an officer of the institution.

Register all securities to:

Georgia Revenue Commissioner
Tax ID: 58-6002015

Send to:

Georgia Department of Revenue
Unclaimed Property Program
4125 Welcome All Rd Suite 701
Atlanta, GA 30349

UP-3S(Rev. 06/2026)
GEORGIA DEPARTMENT OF REVENUE
UNCLAIMED PROPERTY PROGRAM

2026 SECURITIES DTC TRANSFER CONFIRMATION FORM UP-3S

This form must accompany all holder reports.

Page _____ of _____

HOLDER NAME	ADDRESS
DTC #	FEIN

GA HOLDER ID # (1)	HOLDER REPORT DATE (2)

This form is used to notify the Georgia Unclaimed Property Program that all securities reported as unclaimed property have been transferred to the state's custodian, Wells Fargo Advisors. New state reporting requirements mandate the transfer of all eligible shares using DTC. Specific instructions for completing this form and transferring the shares can be found in the accompanying "Instructions for Completion of Form UP-3S."

STOCK ISSUE NAME (3)	STOCK SYMBOL (4)	CUSIP # (5)	TOTAL SHARES TRANSFERRED (6)	DTC TRANSFER DATE (7)

GRAND TOTAL OF SHARES TRANSFERRED (8) _____

I, the undersigned, certify that the securities listed above have been successfully transferred via DTC to Wells Fargo Advisors, custodian for The State of Georgia on the date(s) indicated.

Signed _____ Title _____

Date _____

REMITTING ABANDONED SECURITIES AND MUTUAL FUND REGISTRATION

REMITTING ABANDONED SECURITIES

Securities must be electronically transferred to Georgia's custodial account. Use the following information when making this transfer:

CUSTODIAN - Wells Fargo Advisors DTC NUMBER - 0141
GEORGIA'S ACCOUNT NUMBER - 7888-0087

MUTUAL FUND REGISTRATION

MUTUAL FUND REGISTRATION INSTRUCTIONS FOR THE GEORGIA UNCLAIMED PROPERTY PROGRAM HAVE CHANGED.

MUTUAL FUND TRANSFER VIA ACATS:

Wells Fargo Clearing Services, LLC
ACAT Participant # 0141
Reference: Georgia Revenue Commissioner
Account # 7888-0087

CASH option MUST BE SELECTED for all Dividend and Capital Gains Registration

MUTUAL FUND DIRECT TRANSFER:

For mutual fund shares unable to be transferred via ACATS, please contact Jackie Khalid at Wells Fargo Advisors, jackelyn.khalid@wellsfargoadvisors.com to obtain a fund account number.

If the Georgia Revenue Commissioner does not have an existing account in a specific fund, contact Jackie Khalid at Wells Fargo Advisors, jackelyn.khalid@wellsfargoadvisors.com to obtain the information needed to open a new account.

MUTUAL FUNDS THAT CANNOT BE HELD BY WFA

If WFA cannot hold a specific mutual fund, third party interest statements should be mailed to both WFA and the Georgia Revenue Commissioner (see addresses in Contact Information). Accounts should be registered as stated below:

Georgia Revenue Commissioner
Unclaimed Property Section
4125 Welcome All Rd
Atlanta, GA 30349-1824
TAX ID #: 58-6002015
Broker of Record: Tim Johnson
Rep #00180XE

CASH option MUST BE SELECTED for all Dividend and Capital Gains Registration

CLOSED END MUTUAL FUND

Closed end mutual funds must be transferred using the same instructions as "REMITTING ABANDONED SECURITIES".

CASH option MUST BE SELECTED for all Dividend and Capital Gains Registration

CONTACT INFORMATION

Georgia Revenue Commissioner	Wells Fargo Advisors
Unclaimed Property Section	400 Capitol Mall
4125 Welcome All Rd	Suite 1700
Atlanta, GA 30349-1824	Sacramento, CA 95814
Contact Name:	Contact Name: Jackie Khalid
Contact Phone:	Contact Phone: 916-491-6354 Contact
Email:	Email: jackelyn.khalid@wellsfargoadvisors.com

Checklist for Unclaimed Securities and Securities Related Cash

Your report should consist of:

- ☐ Holder Report Summary Form (UP-1S). (If not reporting electronically)
- ☐ Detail Reporting Form (UP-2S) (If not reporting electronically)
- ☐ Uploaded NAUPA file at https://gareporting.unclaimedproperty.com/
- ☐ Securities DTC Transfer Confirmation (UP-3S). (If not reporting electronically)
- ☐ Submitted electronic payment at https://gareporting.unclaimedproperty.com/ or enclosed a check for the total amount due payable to: GEORGIA DEPARTMENT OF REVENUE
- ☐ All mutual funds, DRP, book entry, etc., statements. **If no statement is included, report will be incomplete and not processed.**

Send your report package to:

Georgia Department of Revenue
Unclaimed Property Program
4125 Welcome All Rd Suite 701
Atlanta, GA 30349

Note: Do not report worthless, non-transferable or restricted shares.

ADDITIONAL INSTRUCTIONS AND INFORMATION

REPORT CHECKLIST - Before filing your report, have you?

- Sent owner notification letters / e-mails to all owners with accounts of $50 or more?
- Sent owner notification letters by Certified Mails to all owners with accounts over $10,000?
- Signed Form UP-1S statement verification? (If not reporting electronically)
- Submitted payment electronically at https://gareporting.unclaimedproperty.com/ or enclosed a check for the total amount due payable to GEORGIA DEPARTMENT OF REVENUE
- Included federal tax identification number on all pages of the report?
- Electronic filers: Uploaded NAUPA file at https://gareporting.unclaimedproperty.com/?ctronic filers: Included a clearly labeled CD and a hard copy of Form UP-1S?
- Securities: Completed LOU Transfer Form UP-3S? (If not reporting electronically)

TO REQUEST AN EXTENSION

- Reports are due by November 1, 2026. Extensions may be granted of up to 90 days.
- Mail or fax a written request to the Unclaimed Property Program at least 30 days prior to the report due date.
- Provide an estimated filing date and the reason for the extension request.

REMITTING ABANDONED SECURITIES

- Do not report worthless / non-transferable securities / restricted shares.
- Do not report fractional shares. Holders must liquidate fractional shares.
- Securities must be electronically transferred to Georgia's custodial account. Use the following information when making this transfer:

CUSTODIAN - Wells Fargo Advisors
DTC NUMBER - 0141
GEORGIA'S ACCOUNT NUMBER - 7888-0087

- All physical certificates must be submitted with the unclaimed property report by November 1. The certificates must be registered to the GEORGIA REVENUE COMMISSIONER.

NEED MORE HELP?

Georgia's Unclaimed Property staff will be glad to answer any questions regarding unclaimed property. Please contact us at:

Georgia Department of Revenue
Unclaimed Property Program
4125 Welcome All Rd Suite 701
Atlanta, GA 30349
Telephone: (855) 329-9863
Fax Line: (404) 724-7013 Email:
ucp.reporting@dor.ga.gov

ADDITIONAL INSTRUCTIONS AND INFORMATION

REPORT CHECKLIST - Before filing your report, have you?

- Sent owner notification letters to all owners with accounts of $50 or more?
- Sent owner notification letters by Certified Mails to all owners with accounts over $10,000?
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- Securities: Completed DTC Transfer Form UP-3S? (If not reporting electronically)

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NEED MORE HELP?

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Georgia Department of Revenue
Unclaimed Property Program
4125 Welcome All Rd Suite 701
Atlanta, GA 30349
Telephone: (855) 329-9863
Fax Line: (404) 724-7013 Email:
ucp.reporting@dor.ga.gov