Georgia Department of Revenue
Compliance Agreement for Substitute Paper Tax Forms
Tax Year 2014

(Revised 07/14)

An authorized representative of the company must sign this Compliance Agreement for the Substitute Tax Forms Tax Year 2014 (herein known as "Agreement"). The Georgia Department of Revenue (herein known as "GADOR") must receive this Agreement prior to submission of any substitute tax form(s) and receipt of a GADOR-assigned vendor number. All primary software developers, secondary software developers, printing agencies and/or payroll services that create GADOR substitute tax forms in their products must complete this Agreement.

All Annual Compliance Agreements are due September 30, 2014.

SECTION ONE
Compliance Agreement

As an authorized representative of _____ I agree that our company will comply with the stipulations below and the GADOR Substitute Form Design Specifications.

1. Comply with the development and submission requirements set forth in the GADOR Substitute Form Design Specifications document and this Agreement.

2. Upon receipt of all GADOR documents pertaining to substitute forms design specifications, will submit Georgia substitute tax form(s) to GADOR for compliance review in the manner provided herein.

3. Will not sell or otherwise distribute its product containing GADOR substitute tax forms to any third parties prior to obtaining formal written approval from GADOR.

4. Draft forms are not to be released in packages. Forms with "APPROVAL PENDING" OR "DRAFT FORM: DO NOT FILE" banners will cause delays and are prohibited.

5. Will not alter GADOR approved substitute tax forms contained in product without the authorization of GADOR.

6. Will notify GADOR, and if necessary, notify and provide customers with updated Georgia substitute tax form(s), if an error(s) relating to forms design, written instructions, scan line, calculations, and computation/coding errors is discovered in any approved product. Your company has **10 days** to correct errors and provide evidence of corrections. If errors persist after being notified, it may possibly jeopardize certification.

7. Will notify its customers, via its software product(s) or additional documentation as necessary, of any tax form instructions required by GADOR.

8. Will print vendor's number **or vendor's developer ID** on all pages of the Georgia substitute tax forms.

9. Will notify its customers that failure to follow tax instructions correctly, changing the tax form's font, or altering the required data or design of the form will result in tax errors, processing delays, or inability to successfully process information entered on the form.

10. Grants a limited license to GADOR to display _____in various GADOR public information material designed only for informing tax practitioners and the taxpaying public about software/form developers that have developed substitute tax forms in compliance with GADOR's requirements.

11. Understands all previously signed Agreements with GADOR will expire on **August 31, 2014**.

12. Form must duplicate our content and placement layout (tables, data fields, barcodes, registration, etc.).

13. Agrees to submit all initial requests for form approval within 30 days of forms release date and no later than **January 31, 2015**.

14. Agrees to resubmit submissions within 10 days of notification, if the forms or vouchers fail.

15. Complete a separate compliance agreement for each vendor code.

Two Dimensional Bar Code Request

Two-Dimensional Bar Code

2-D SYMBOLOGY

Forms enhanced with 2D Bar Code are processed faster, easier and more accurately. Studies show that the entry and read error rates for bar code automatic data collection is approximately one error in one million characters versus one error for every three hundred characters in manual key entry.

If your company supports two-dimensional barcode technology (PDF417), GADOR requests your company's participation in our 2D Bar Code program. Please select the forms your company will support:

___ Georgia Form 500 __ Georgia Form 500EZ __ Georgia Form 600 ___ Georgia Form 600S
___ Georgia Form ST-3

If your company cannot agree to support 2D Bar Code technology this year, please provide a brief explanation below as to why and when you may be able to support this technology for the State of Georgia's substitute tax forms.

_____ cannot support 2D Bar Code technology at this time because:

By signing this Agreement, we understand and agree to the terms and conditions of the Compliance Agreement for Substitute Tax Forms Tax Year 2014_____ acknowledges that the substitute forms reproduced in _____ product(s) will not be processed by GADOR if such forms do not fully meet the standards set forth in the GADOR Substitute Form Design Specifications document and this Agreement. GADOR reserves the right to contact _____ regarding issues of non-compliance.

Signature:_____ **Date:**_____

Printed Name:_____ **Title:**_____

PRINT CLEAR

SECTION TWO - Contact and Product Information

*** Approvals will be sent to primary and secondary contact email address(es) listed below.**

General Company Information	
Name of Company	
Mailing Address (Corporate Office)	
City	
State	
Zip Code	
NACTP ID	
Corporate Website Address	
Primary Forms Contact Name	
Primary Forms Contact Phone Number	
Primary Forms Contact Fax Number	
Primary Forms Contact Email Address	
Secondary Forms Contact Name	
Secondary Forms Contact Phone Number	
Secondary Forms Contact Fax Number	
Secondary Forms Contact Email Address	

	Product Information (Check all that apply)
☐	Develops substitute tax forms using our software product(s).
☐	Develops a Forms Library product
☐	Develops On-Line Software that offers paper filing of Georgia Department of Revenue returns through the web.
☐	Develops On-Line Software that offers e-filing of Georgia Department of Revenue returns through the web. **Note: There is a separate approval process for e-file. If you are going to provide an option to print a return you must be approved for printed image.**
☐	Develops payroll service software and provides associated filing services.
☐	Develops substitute tax forms and sell forms to secondary companies who provide the variable data content. Please provide the name (s) of the Secondary Software Developer (s) below:
☐	Buys substitute tax forms from another company in order to print the variable data on these forms. Please provide the name(s) of the Primary Software Developer(s) below:
☐	Internally creates and buys substitute tax forms from another company. Please provide the name(s) of the Primary Software Developer(s) below:
☐	Print Vendor

Check the tax type		Product Name
☐	Individual	
☐	Partnership	
☐	Corporate	
☐	Withholding	
☐	Sales and Use	
☐	Fiduciary	

SECTION THREE - Substitute Forms

(The forms listed below are reproducible.) Please place a checkmark by the forms you will be reproducing.

Check Here	Form	Form Code	Description
			Corporate
	600	014	Corporate Tax Return
	600 S	015	S Corporation Tax Return
	600S-CA	184	S Corporation Consent Agreement of Nonresident Stockholders
	600 T	016	Exempt Organization Unrelated Business Income Tax Return
	602 ES	602	Corporate Estimate Payment
	900	013	Financial Institutions Business Occupation Tax Return
	IT-552	029	Corporation Application For Tentative Carry-Back Adjustment
	IT-560-C	012	Payment of Income Tax and/or Net Worth Tax
	IT-611	BOOKLET	Corporation Income Tax Booklet
	IT-611S	BOOKLET	S Corporation Income Tax Booklet
	PV-Corp	030	Corporate Payment Voucher
	CR-PV	071	Composite Return Payment Voucher
	IT-Consol	234	Application to File Consolidated Georgia Income Tax Return
	CR-ES	018	Composite Return Estimated Tax
	IT-Addback	None	Related Member Intangible Expenses and Costs and Interest Expenses and Costs
	3605	None	Application for Recognition of Exemption with Instruction
	8453 C	None	Georgia Corporation Tax Declaration for Electronic Filing
	8453 S	None	Georgia S Corporation Tax Declaration for Electronic Filing
	600 UET	206	Underpayment of Estimated Tax by Corporations
	IT-REIT	None	Captive Real Estate Investment Trust (REIT)
			Tax Credit
	IT-APP	None	Investment Tax Credit Application
	IT-BE	None	Basic Skills Education Tax Credit
	IT-CA	None	Job Tax Credit (2008)
	IT-CA	None	Job Tax Credit (2009)
	IT-CA	None	Job Tax Credit (2012)
	IT-CCC75	None	Tax Credit for Cost of Operations
	IT-CCC100	None	Tax Credit for Cost of Qualified Child Care Property
	IT-CEP	None	Clean Energy Credit Calculation Form
	IT-CEP	None	Clean Energy Credit Calculation Form (2012)
	IT-CEP-AP	None	Clean Energy Property Tax Credit Preapproval Form
	IT-WH-CEP	None	Notice of Intent to Claim the Withholding Benefit from Clean Energy Property Tax Credit (Insurance Companies Only)
	IT-CONSV	None	Conservation Tax Credit 2008
	IT-CONSV	None	Conservation Tax Credit 2013
	IT-FC	None	Film Tax Credit 2008
	IT-FC	432	Film Tax Credit 2013
	IT-FC	436	Film Tax Credit 2014
	IT-HC	None	Departments of Revenue, Insurance and Community Affairs-Certification for Georgia Housing Tax Credit
	IT-HQ	None	Application for Georgia Headquarters Job Tax Credit
	IT-IC	None	Investment Tax Credit Form
	IT-OIC	None	Optional Investment Tax Credit Calculation
	IT-QBE	None	Qualified Business Expansion Application
	IT-QBR	284	Qualified Business Registration Form
	IT-QEE-SSO1	064	Qualified Education Expense Credit Letter of Confirmation
	IT-QEE-SSO2	066	Qualified Education Expense Credit Computation
	IT-QEE-TP2	065	Qualified Education Expense Credit Reporting Form
	IT-QHIE	None	Qualified Health Insurance Expense Credit
	IT-QI	433	Qualified Investor Credit (The Georgia Angel Investor Tax Credit)
	IT-QI-AP	419	Qualified Investor Tax Credit Preapproval Form (The Georgia Angel Investor Tax Credit)
	IT-QIEPC	None	Qualified Interactive Entertainment Production Company Certification Form
	IT-QJ	None	Application for Georgia Quality Jobs Tax Credit
	IT-RC	None	Retraining Tax Credit Form

Check Here	Form	Form Code	Description
			Tax Credit (continued)
	IT-RC	None	Retraining Tax Credit Form 2009
	IT-RD	None	Research Tax Credit 2009
	IT-RD	None	Research Tax Credit
	IT-RHC	None	Historic Rehabilitation Credit
	IT-RHC	None	Historic Rehabilitation Credit 2009
	IT-SCF	None	Seed-Capital Fund Tax Credits
	IT-TRANS	None	Notice of Tax Credit Transfer
	IT-WH	None	Notice of Intention to Claim Withholding Tax Benefit
	OIT-APP	None	Optional Investment Tax Credit Form
			Fiduciary
	501	008	Fiduciary Income Tax Return
	501-X	009	Amended Georgia Fiduciary Income Return
			Individual
	500	004	Individual Income Tax Return
	500-ES	500	Estimated Tax for Individuals and Fiduciaries
	500-EZ	002	Short Individual Income Tax Return
	500-UET	205	Underpayment of Estimated Tax by Individuals/Fiduciary
	500-X	005	Amended Individual Income Tax Return
	IT-511	BOOKLET	Instructions Including Individual Forms
	8453	None	Georgia Individual Income Tax Declaration for Electronic Filing
	IND-CR	059	Individual Income Tax Credit
	525 TV	525	Payment Voucher
	5347	None	Statement of Person Claiming Refund on Behalf of a Deceased Taxpayer
	500 NOL	010	Application for Net Operating Loss Adjustment (other than Corporations)
	IT-560	023	Individual/Fiduciary Extension Payment
	2106	None	Employee Business Expenses
	RET-001	None	Taxpayer Return Request Form
			Individual/Corporate
	IT-303	303	Application for Extension of Time for Filing State Income Tax Returns
	IT-550	None	Claim for Refund of Georgia Income Tax Erroneously or Illegally Collected
	IT-CR	024	Composite Return for Non-Resident Partners And Shareholders
	IT-CR UET	207	Underpayment of Estimated Tax by Composite Filers
			Partnership
	700	017	Partnership Income Tax Return
	IT-711	BOOKLET	Partnership Income Tax Return Booklet
	CR-AFF	None	Affidavit by Non-Resident
	8453 P	None	Georgia Partnership Tax Declaration for Electronic Filing
			Sales and Use
	ST-C 214-5	221	Notice of General or Prime Contractor of Contract Let to a Subcontractor
	ST-C 214-1	222	Nonresident Contractor's Application for Authorization to Perform
	ST-C 214-4	223	Nonresident Contractor's Performance Tax Bond
	ST-C 214-14	229	Nonresident Bond Cancellation Request Form
	ST-C 214-8	226	Nonresident Contractor's Consent to Service of Process (Corporation)
	ST-C 214-9	225	Nonresident Contractor's Consent to Service of Process (Sole Proprietor)
	ST-C 214-10	227	Nonresident Contractor's Consent to Service of Process (Partnership)
	ST-C 214-11	228	Nonresident Contractor's Consent to Service of Process (Limited Liability Company)
	ST-C 214-6	None	Certification of Subcontractor Residency
	ST-C 214-2	218	Application for Subcontractor's Sales and Use Tax Bond
	ST-C 214-3	219	Nonresident Subcontractor Sales and Use Tax Bond
	ST-C 214-12	221	Notice of Prime or General Contractor of Funds Withheld Pending Request of a Release Notice
	ST-C 214-13	277	Request for Release of Retainage
	ST-12	261	Sales Tax Claim for Refund
	ST-12A	None	Waiver of Vendors Rights-Claim for Refund
	ST-12B	260	Purchaser's Claim For Sales Tax Refund Affidavit
	ST-3	126	Sales and Use Tax Report
	ST-3 Add. Sales	128	Sales and Use Tax Return Addendum for Sales Tax

Check Here	Form	Form Code	Description
			Sales and Use (continued)
	ST-3 Dealer Insert	130	Sale and Use Tax Return Dealer Insert
	ST-3 Add. Use	129	Sales and Use Tax Return Addendum for Use Tax
	ST-3 MF	127	Sales and Use Tax Return Motor Fuel
	ST-3 MF Add.	131	Sales and Use Tax Return Amended for Motor Fuel Sales
	PV-ST	047	Sales and Use Tax Payment Voucher
	ST-NH1	None	Non-Profit Nursing Homes, in Patient Hospices, General and Mental Hospitals Exemption Application
	ST-4	None	Out of State Dealer Exemption Certificate
	ST-5 SST	None	Streamlined Exemption Certificate
	ST-5	None	Georgia Dealer of Purchaser Exemption Certificate
	ST-5M	None	Georgia Manufacturer's Certificate of Exemption
	ST-5M Add.	None	ST-5M Addendum – Metered Energy
	Gate Add.	None	GATE Addendum – Metered Energy
	ST-6	None	Out of State Delivery Exemption Certificate
	ST-7	None	High Seas Exemption Certificate - Consumable Supplies & Motor Fuel
	ST-8	None	Motor Vehicle – Non-Resident Purchaser Exemption Certificate
	ST-AER1	None	Aircraft Engine Remanufacturers Application
	ST-CE1	None	Computer Equipment Exemption Application
	ST-CH-1	None	Application for Certificate of Exemption for Nonprofit Child-Caring Institution, Child-Placing Agency and Maternity Home
	ST-M7	None	Pollution Machinery Exemption Application
	ST-WD1	None	Material Handling Machinery Exemption Application – Distribution Facility
	FS-32	None	Miscellaneous Events Sales Tax Form
	PWR	262	Prepaid Wireless Fee Return
	ST-12Tourism	None	Georgia Tourism Development Act Claim for Refund
			Withholding
	AFF1	None	Affidavit of Sellers Residence
	AFF2	None	Affidavit of Sellers Gain
	AFF3	None	Sellers Certificate of Exemption
	G-1003	103	W-2 Income Statement Transmittal Form
	G-2A		Withholding on Distributions to Nonresident Members/Shareholders
	G-2RP	104	Withholding on Sales or Transfers of Real Property and Associated Tangible Personal Property by Nonresidents
	G-2LP	105	Withholding on Sales or Assignments of Lottery Payments
	G-4	None	Employee Withholding Allowances
	G-4P	None	Withholding Certificate for Pension or Annuity Payments
	G-5B	None	Withholding Account Change Form
	G-7M	006	Withholding Quarterly Return (For Monthly Payers)
	G-7Q	007	Withholding Quarterly Return (For Quarterly Payers)
	G-7NRW	108	Withholding Nonresident Return
	GA-V	001	Withholding Tax Payment Voucher
	G-7 Sch B	031	Withholding Quarterly Return (For Semi-Weekly Payers)
	NRW	113	Affidavit by Nonresident of Exemption
			Miscellaneous
	RD-1061	134	Power of Attorney
	4562	135	Depreciation and Amortization
	RD-1062	None	Disclosure Authorization Form
	TSD-10	None	Application for Tax Clearance Certificate
	IT-DN	435	Disaster Notification

*******ALL INFORMATION REQUESTED HEREIN SHOULD BE SIGNED AND E-MAILED TO:**

Forms.Coordinator@dor.ga.gov